

# Update on the New AUSIEX | Client Update

## Overview

On 28 April 2020, Nomura Research Institute entered into an agreement with Commonwealth Bank of Australia (CommBank) to acquire Australian Investment Exchange Ltd (AUSIEX). AUSIEX trades under the name CommSec Adviser Services.

The acquisition includes the broking operations, licence and assets of AUSIEX. The broader CommSec retail broking business is not affected by the sale.

Nomura Research Institute is committed to investing in the long term success of the new business, including revitalising current technology platforms, expanding trade execution capability and providing next generation solutions. With Nomura Research Institute's backing, proven technology capability and expertise in global capital markets, alongside our 25+ years' experience in local markets, we are excited about the opportunities ahead.

## What this means for investors

The business is currently on track to launch as a standalone business – separate from CommBank – on Monday 3 May, 2021. We want to assure you that trading will continue uninterrupted.

Upon change of ownership, trading (the broking operations and licence) will move across with the new AUSIEX business. The Accelerator Cash Account (ACA) and investment lending products will remain with CommBank due to banking licence requirements. Once AUSIEX separate from the Bank, support for these products will transition to CommSec, under the CommSec Adviser Services brand.

## Next steps

If there are any changes in the longer term we will keep your adviser fully informed well in advance of any changes taking place. Your adviser will also have access to a dedicated website with more information, as well as ongoing updates that will support them through the transition.

If you have any questions, speak to your financial adviser.

## Who is Nomura Research Institute?

Nomura Research Institute is a leading Japanese technology solutions and business consulting firm, and the industry leader in wholesale broking in Japan, serving over 80% of institutional brokers, 50% of retail brokers and 80% of asset managers.

They have a significant global business and are present in 69 subsidiaries, throughout 15 countries and regions.

Independent and well capitalised, they have an ambition to be a market leader in Australia for wholesale broking services and portfolio administration.

### CommSec Adviser Services

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